

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent of: Pham et al.
Patent No. 7,097,008 Application No.: 10/671,856
Issue Date: August 29, 2006 Filing Date: September 25, 2003

Title: FRICTION ASSEMBLY AND METHOD FOR
 MANUFACTURING SAME

Attn: Certificate of Correction Branch
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**REQUEST FOR CERTIFICATE OF CORRECTION UNDER 37 C.F.R. § 1.323
AND REQUEST FOR CORRECTION OF ASSIGNEE NAME
UNDER 37 C.F.R. § 3.81(b) AND MPEP § 1481.01**

Sir:

The applicants' undersigned attorney has reviewed the above-identified patent and found errors which were not the fault of the Patent and Trademark Office.

A Certificate of Correction form (PTO/SB/44) is submitted herewith, listing the following errors:

(1) The name of the assignee should appear on the front page of the patent, as follows:

--Capital Tool & Design Limited
Concord, Ontario, Canada--

(2) At column 7, line 19 (claim 6), "fiction" should read --friction--.

These errors are clearly of a clerical and typographical nature, and do not affect the scope or meaning of the patent.

The assignment to Capital Tool & Design Limited was submitted for recordation as set forth in 37 C.F.R. § 3.11 before issuance of the patent. The patent issued on August 29, 2006. Copies of the assignment document and the Notice of Recordation are enclosed, showing that the assignment was recorded on September 25, 2003.

The Commissioner is hereby authorized to charge the Certificate of Correction fee of \$100.00 under 37 C.F.R. § 1.20(a), the processing fee of \$130.00 under 37 C.F.R. §§ 3.81 and 1.17(i), and any other applicable fees, to Deposit Account No. 50-1561 of Greenberg Traurig, LLP.

Issuance of a Certificate of Correction, correcting the errors noted above, is respectfully requested.

The applicant's attorney may be reached by telephone at 212-801-2273. All correspondence should continue to be directed to the address given below, which is the address associated with Customer Number 32361.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'AT', with a long horizontal line extending to the right.

Alan Tenenbaum
Attorney for Applicants
Registration Number 34,939

Date: November 2, 2006

GREENBERG TRAURIG, LLP
200 Park Avenue
New York, NY 10166

**UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION**

Page 1 of 1

PATENT NO. : 7,097,008
APPLICATION NO.: 10/671,856
ISSUE DATE : August 29, 2006
INVENTOR(S) : Pham et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On the front page of the patent, add the following:

ASSIGNEE: Capital Tool & Design Limited
Concord, Ontario, Canada

At column 7, line 19 (claim 6), "fiction" should read --friction--.

MAILING ADDRESS OF SENDER (Please do not use customer number below):

GREENBERG TRAURIG, LLP
200 Park Avenue
New York, NY 10166

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 10 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

MAY 06, 2004

PTAS



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WHITE & CASE LLP
PATENT DEPARTMENT
1155 AVENUE OF THE AMERICAS
NEW YORK, NY 10036

WHITE & CASE LLP
PATENT DEPARTMENT

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

MAY 10 2004

RECEIVED

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 09/25/2003

REEL/FRAME: 014575/0379
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
PHAM, NGHI

DOC DATE: 09/23/2003

ASSIGNOR:
GREENBERG, MICHAEL

DOC DATE: 09/23/2003

ASSIGNOR:
MCISAAC, JOE

DOC DATE: 09/23/2003

ASSIGNOR:
GIANNONE, ANTHONY

DOC DATE: 09/23/2003

ASSIGNEE:
CAPITAL TOOL & DESIGN LIMITED
60 CITATION DRIVE
CONDORD, ONTARIO, CANADA L4K 2W9

014575/0379 PAGE 2

SERIAL NUMBER: 10671856
PATENT NUMBER:

FILING DATE: 09/25/2003
ISSUE DATE:

STEVEN POST, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

ASSIGNMENT

WHEREAS, We, Nghi Pham, Michael Greenberg, Joe McIsaac and Anthony Giannone, residing at: 100 Prairie Dunes Place, Concord, Ontario L4K 2E4 Canada; 11 Grenadier Crescent, Thornhill, Ontario, L4J 7W2, Canada; 848 Nantyr Drive, Innisfil, Ontario, L9S 1S8, Canada, and 2549 Trondheim Crescent, Mississauga, Ontario, L5N 1P3, Canada are citizens of Canada, (the "Inventors") have made an invention entitled **FRICION ASSEMBLY AND METHOD FOR MANUFACTURING SAME** for which we have made an application for Letters Patent of the United States.

WHEREAS, Capital Tool & Design Limited (the "Assignee"), a company organized and existing under the laws of Canada, and having an office for the transaction of business at: 60 Citation Drive, Concord, Ontario, L4K 2W9 Canada, is desirous of acquiring the entire right, title and interest in and to said invention and any improvements thereon, and in and to the said applications for Letters Patent therefor, and any Letters Patent which may be obtained therefor;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN, BE IT KNOWN, that we, the said **Inventors**, for and in consideration of the sum of One Dollar (\$1.00), lawful money of the United States, to us in hand paid by said **Assignee**, and other valuable considerations unto us moving from said **Assignee**, at or before the ensealing and delivery of these presents, the receipt of which is hereby acknowledged, have sold, assigned, transferred and conveyed and by these presents do sell, assign, transfer and convey, unto said **Assignee**, its successors and assigns, the entire right, title and interest in and to the said inventions as set forth and described in the specifications forming a part of the applications for Letters Patent of the United States for **FRICION ASSEMBLY AND METHOD FOR MANUFACTURING SAME**, and any and all improvements thereon, and in and to said applications and any divisions, continuations or continuations-in-part thereof, and in and to any Letters Patent of the United States which may be issued on any of said applications, and any reissues thereof, and in and to any and all applications for Letters Patent filed in foreign countries for said invention or improvements, including all priority rights, and any and all Letters Patent which may be granted in foreign countries therefor, **TO HAVE AND TO HOLD THE SAME** to the full end of the term or terms for which any and all said Letters Patent may be granted;

AND WE, the said **Inventors**, do hereby authorize and request the Commissioner of Patents and Trademarks to issue the said Letters Patent of the United States to said **Assignee**, as the assignee of the entire right, title and interest in and to the same, for the sole use and behoof of said **Assignee**, its successors and assigns;

AND WE, the said **Inventors**, for the considerations aforesaid, do hereby covenant and agree to and with said **Assignee**, its successors and assigns, that we have the full power to make this assignment, and that the rights assigned are not encumbered by any grant, license or right heretofore given, and that we, our executors or administrators, shall and will do all lawful acts and things and make, execute and deliver without further compensation, any and all other instruments in writing, further applications, papers, affidavits, powers of attorney, assignments, and other documents which, in the opinion of counsel for said **Assignee**, its successors and assigns, may be

required or necessary to more effectively secure to and vest in said **Assignee**, its successors and assigns, the entire right, title and interest in and to said invention and improvements, applications, Letters Patent, rights, benefits, privileges and advantages hereby sold, assigned, transferred and conveyed, and that we will sign any applications for reissue which may be desired by the owner of the patent or patents which may be issued for the said invention or improvements.

The undersigned hereby authorizes the firm of Gowling Lafleur Henderson LLP to correct errors in this assignment or to insert any further identification or other information necessary or desirable to make this assignment suitable for recordal in any Patent Office. The undersigned hereby authorizes and requests that Gowling Lafleur Henderson LLP insert here in parentheses (United States Patent Application Serial No. _____, filed _____) the application number of the said Patent Application when known.

IN WITNESS WHEREOF, WE, the said **Inventors**, have hereunto set my hand and seal on the date below written.

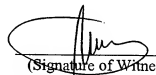
First Inventor:

Date: Sept 23, 2003



Nghi Pham

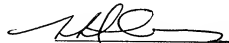
JERZY KRYSPIN
(Name of Witness, Please print)



(Signature of Witness)

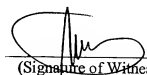
Second Inventor:

Date: Sept 23, 2003



Michael Greenberg

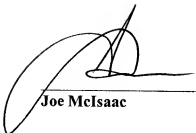
JERZY KRYSPIN
(Name of Witness, Please print)



(Signature of Witness)

Third Inventor:

Date: Sept 23, 2003


Joe McIsaac

Jamiea Kurniawati
(Name of Witness, Please print)

Jho
(Signature of Witness)

Fourth Inventor:

Date: Sept. 23, 2003


Anthony Giannone

Jamiea Kurniawati
(Name of Witness, Please print)

Jho
(Signature of Witness)